The Type of account that you wish to open (Brokerage, IRA, Roth IRA, etc)

Your names as you want to appear on the accounts:

SSNs:

Date of Births:

Phone:

Emails:

Legal Address:

Mailing Address if different:

Marital status:

Citizenship:

Number of Dependents:

Driver's License numbers, expiration dates, state of issue:

Occupations:

Employers and their Address:

Number of years worked there:

Primary Beneficiary's Name and relationship to you:

Contingent beneficiaries Names and relationship to you:

Investment Goals (please choose one):

Conservative/Income moderate growth & Income Aggressive growth

Annual Income:

Net Worth:

Liquid Net Worth:

Time horizon for investing in years:

Are you a US person or resident alien?

Do you possess or are you related/affiliated to anyone who might have insider information or a 10% shareholder of a publicly traded company etc.?

Are you or are you related to a senior foreign official?

For Beneficiary IRAs: Name of the deceased

Date of birth of the deceased

Trust Information:

Trust Name:

Name of Trustee(s):

Date of Formation:

Date of Amendments (if any):

Name of successor trustee (if any):

Name of the Grantor, settlor(s), or testator:

Is the trust revocable or irrevocable?

The trust tax payer identification:

The trust is governed by the laws of the state of:

Are there any investments that the trust forbids or excludes from purchasing?